

Разбиения поверхностей на многоугольники
и задачи, пришедшие
из физики, химии и биологии

В. М. Бухштабер

МИАН имени В. А. Стеклова,
МГУ имени М. В. Ломоносова,
ИППИ имени А. А. Харкевича

XV Летняя школа «Современная математика»
Ратмино, 25 июля 2015 г.
Лекция 3

Зигзаги на простых разбиениях

Пусть M^2 – поверхность с фиксированным простым разбиением.

Путём Петри называется рёберный путь, такой что никакие три последовательных ребра не лежат в одной грани.

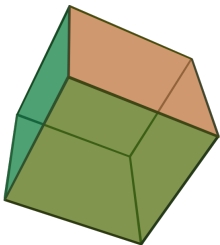
Зигзагом называется **замкнутый** путь Петри.

Простым называется зигзаг **без самопересечений**.

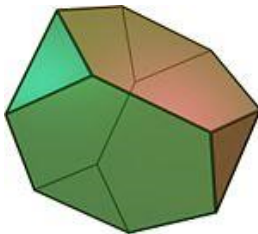
Следствие

Простой зигзаг разбивает поверхность фуллерена на два простых разбиения диска, каждое из которых содержит ровно 6 пятиугольников.

Простой многогранник называется **флаговым**, если любой набор его попарно пересекающихся гиперграней F_{i_1}, \dots, F_{i_k} :
 $\forall a, b \quad F_{i_a} \cap F_{i_b} \neq \emptyset$, имеет непустое пересечение
 $F_{i_1} \cap \dots \cap F_{i_k} \neq \emptyset$.

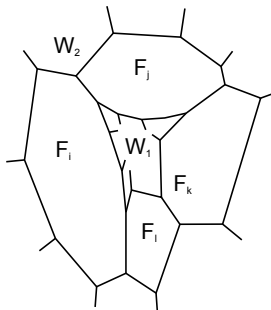


Флаговый многогранник



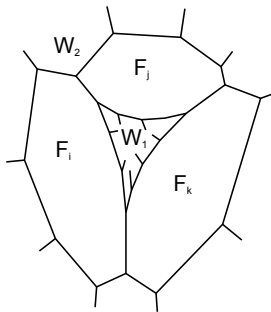
Нефлаговый многогранник

Let P be a simple convex 3-polytope. A **k-belt** is a cyclic sequence (F_1, \dots, F_k) of 2-faces, such that $F_{i_1} \cap \dots \cap F_{i_r} \neq \emptyset$ if and only if $\{i_1, \dots, i_r\} \in \{\{1, 2\}, \dots, \{k-1, k\}, \{k, 1\}\}$.



4-belt of a simple 3-polytope.

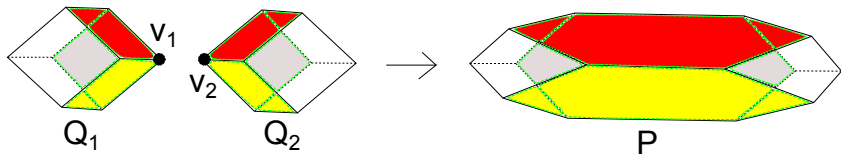
Simple 3-polytope P is **not flag** if and only if either $P = \Delta^3$, or P contains a **3-belt**.



If we remove the 3-belt from the surface of a polytope, we obtain two parts W_1 and W_2 , homeomorphic to disks.

Non-flag 3-polytopes as connected sums

The existence of a 3-belt is equivalent to the fact that P is combinatorially equivalent to a **connected sum** $P = Q_1 \#_{v_1, v_2} Q_2$ of two simple 3-polytopes Q_1 and Q_2 along vertices v_1 and v_2 .

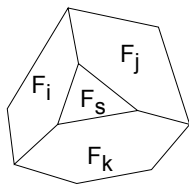


The part W_i appears if we remove from the surface of the polytope Q_i the facets containing the vertex v_i , $i = 1, 2$.

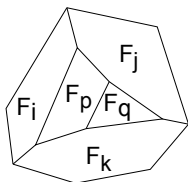
Теорема (E,15)

Any fullerene has no 3-belts, that is it is a flag polytope.

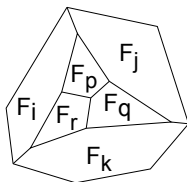
The proof is based on the following result about fullerenes. Let the 3-belt (F_i, F_j, F_k) divide the surface of a fullerene P into two parts W_1 and W_2 , and W_1 does not contain 3-belts. Then P contains one of the following fragments



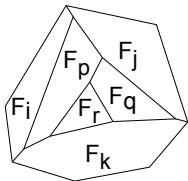
(1,1,1)



(1,2,2)



(2,2,2)



(1,2,3)

This is impossible since each fragment has a triangle or a quadrangle.

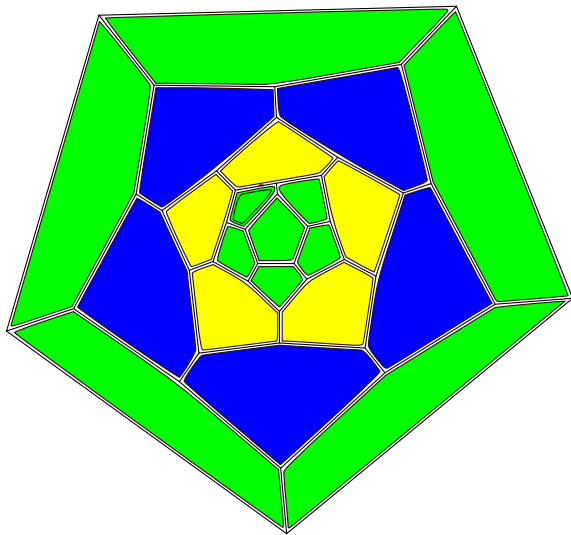
Теорема

Any fullerene has no 4-belts.

Теорема

Any fullerene P has $12 + k$ belts, where 12 belts surround 12 pentagonal faces and $k \geq 0$. If $k > 0$, then P consists of two «dodecahedral caps» and k hexagonal 5-belts between them, where any hexagon in a belt is incident with neighboring hexagons by opposite edges.

Fullerene with 2 hexagonal 5-belts

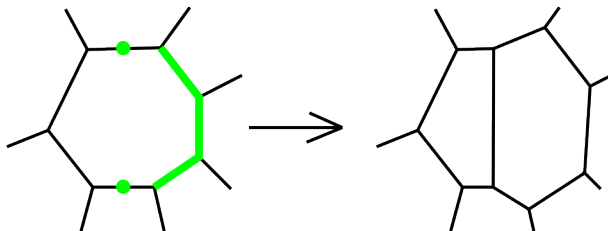


(s, k) -truncations

Let F_i be a k -gonal face of a simple 3-polytope P .

- choose s subsequent edges of F_i ;
- rotate the supporting hyperplane of F_i around the axis passing through the midpoints of adjacent two edges (one on each side);
- take the corresponding hyperplane truncation.

We call it (s, k) -truncation.

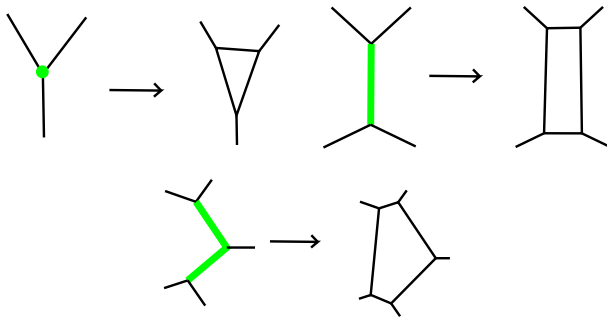


$(3, 7)$ -truncation

Construction of simple 3-polytopes

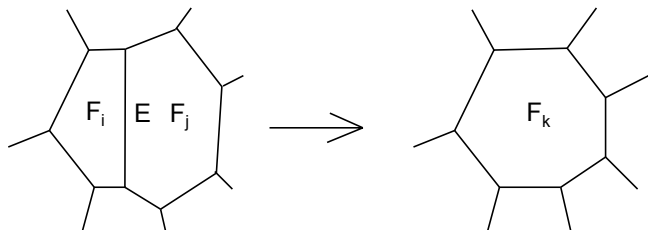
Теорема (Eberhard, Brückner, XIX)

*Any simple 3-polytope is combinatorially equivalent to a polytope that is obtained from the tetrahedron by a sequence of **vertex**, **edge** and $(2, k)$ -truncations.*



Straightening along the edge

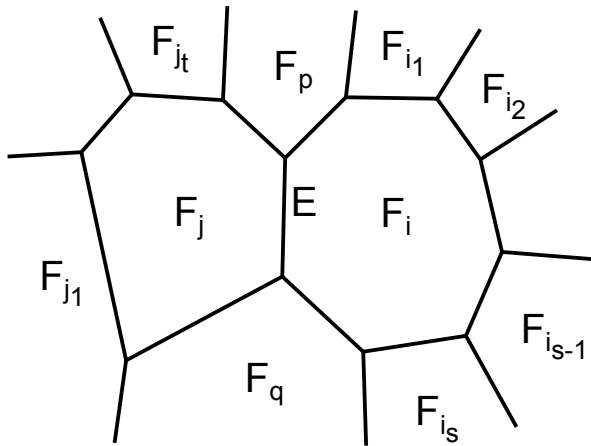
Let $E = F_i \cap F_j$ be an edge such that p -gon F_i and q -gon F_j do not belong together to any 3-belt. Then there is a **combinatorial** operation of straightening along E .



The result is a combinatorial polytope with a $(p + q - 4)$ -gonal face F_k obtained from F_i and F_j .

The straightening is an inverse operation to $(p - 3, p + q - 4)$ - or $(q - 3, p + q - 4)$ -truncations along edges of F_k .

Possibility of strengthening



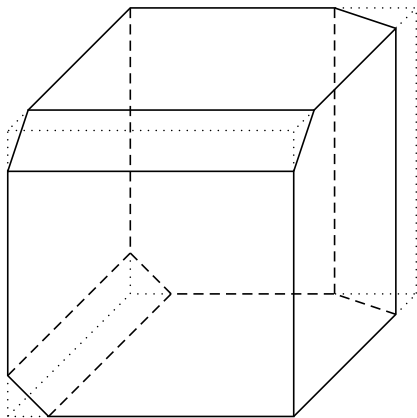
It is possible to apply the straightening along the edge $E = F_i \cap F_j$ if and only if $\{F_{i_1}, \dots, F_{i_s}\} \cap \{F_{j_1}, \dots, F_{j_t}\} = \emptyset$.

Proposition (V. Volodin, 2011)

A simple 3-polytope P is flag if and only if it admits the straightening along any edge E of P .

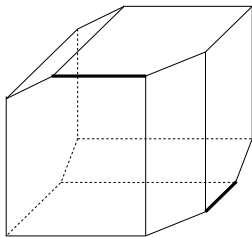
Теорема (E, 15)

*A simple 3-polytope is flag if and only if it is combinatorially equivalent to a polytope obtained from the cube by a sequence of **edge truncations** and **(2, k)-truncations**, $k \geq 6$.*

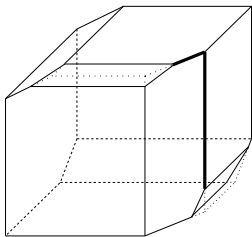


A realization of the Stasheff polytope using edge-truncations
(V. Buchstaber, 2007)

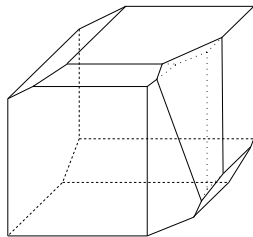
Realization of the dodecahedron



$$(p_4, p_5, p_6) = (3, 6, 0)$$

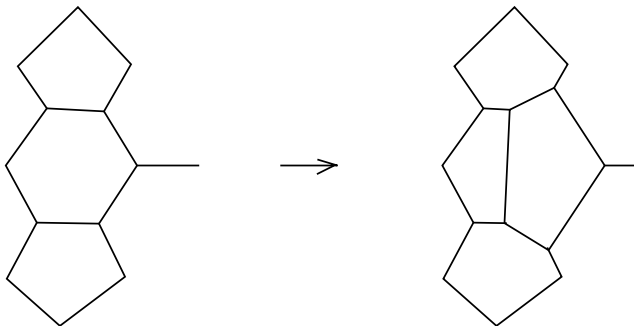


$$(p_4, p_5, p_6) = (2, 8, 1)$$



$$(p_4, p_5, p_6) = (0, 12, 0)$$

- first apply 3 edge-truncations to the cube to obtain the associahedron;
- then apply 2 edge-truncations of bold edges;
- at last apply (2,6)-truncation of two bold edges.



- Перестройка Эндо-Крото увеличивает p_6 на 1.
- При помощи последовательности перестроек Эндо-Крото из бочки можно получить фуллерен с любым $p_6 = k$, $k \geq 2$.

Characterization of the Endo-Kroto operation

- The Endo-Kroto operation is a $(2, 6)$ -truncation.
- The only (s, k) -truncation that gives a fullerene from a fullerene is an Endo-Kroto operation.

Graph-truncations of simple polytopes

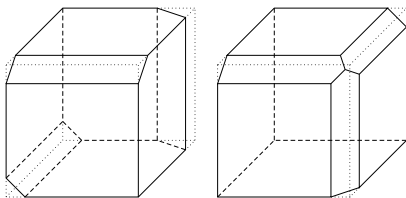
For a simple 3-polytope P let

$$P = \{x \in \mathbb{R}^n : a_i x + b_i \geq 0, i = 1, \dots, m\}$$

be an irredundant representation and $G(P)$ be the 1-skeleton of P . Then for a subgraph $\Gamma \subset G(P)$ **without isolated vertices** define a **graph-truncation**

$$P_{\Gamma, \varepsilon} = P \cap \{x \in \mathbb{R}^n : (a_i + a_j)x + (b_i + b_j) \geq \varepsilon, F_i \cap F_j \in \Gamma\}$$

The combinatorial type does not depend on ε , if $\varepsilon > 0$ is small enough. Denote it by P_{Γ} .

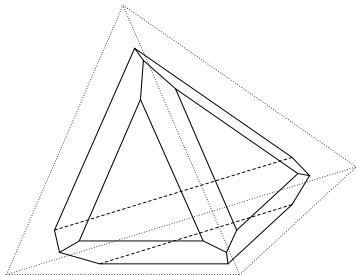


Different realizations of the associahedron.

Cutting off all edges

The polytope $P_{G(P)}$ is obtained from P by cutting off of all the edges.

$$p_k(P_{G(P)}) = \begin{cases} p_k(P), & k \neq 6 \\ p_k(P) + f_1(P), & k = 6 \end{cases}$$



$$(p_3, p_4, p_5, p_6) = (4, 0, 0, 6)$$

Cutting off of all the edges of a simplex.

Properties of graph-truncations

The graph $\Gamma \subset G(P)$ is **admissible** if any it's vertex has valency 1 or 3.

Теорема

For a simple 3-polytope P the polytope P_Γ is simple if and only Γ is admissible

Теорема

For a simple 3-polytope P and an admissible graph $\Gamma \subset G(P)$ the polytope P_Γ is flag if and only if for any 3-belt (F_i, F_j, F_k) in P one of the edges $F_i \cap F_j$, $F_j \cap F_k$ and $F_k \cap F_i$ belongs to Γ , and for any triangular face F_i the induced subgraph $\Gamma \cap F_i$ has isolates vertices.

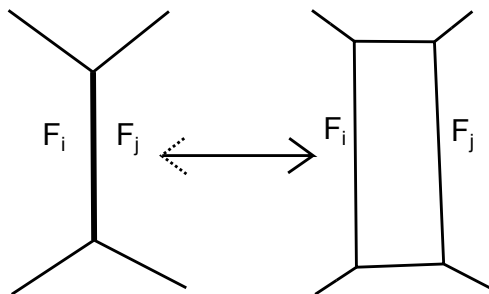
Graph-truncation and (s, k) -truncation

An edge-truncation (that is a $(1, k)$ -truncation) is the only operation that is *simultaneously* a graph-truncation and an (s, k) -truncation.

- A graph-truncation is a *monotonic* operation. That is, let P be a simple polytope and $\Gamma \subset P$ be an admissible graph. Then $p_k(P_\Gamma) \geq p_k(P)$ for all k and there exists l such that $p_l(P_\Gamma) > p_l(P)$.
- (s, k) -truncation is *not a monotonic* operation. For example, let Q be a polytope such that the dodecahedron P is obtained from Q by a $(2, 6)$ -truncation. Then

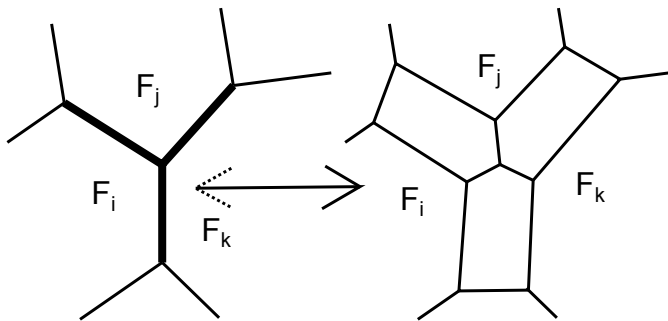
$$p_4(Q) = 2 \geq 0 = p_4(P), \quad p_5(Q) = 8 \leq 12 = p_5(P), \\ p_6(Q) = 1 \geq 0 = p_6(P).$$

First nontrivial graph-truncations



The inverse operation
is applicable if and only if
 $F_i \cap F_j = \emptyset$.

First nontrivial graph-truncations



The inverse operation
is applicable if and only if
 $F_i \cap F_j = F_i \cap F_k = F_j \cap F_k = \emptyset$.

Теорема (E,14)

For every sequence $(p_k | 4 \leq k \neq 6)$ of nonnegative integers satisfying

$$2p_4 + p_5 = 12 + \sum_{k \geq 7} (k-6)p_k,$$

there exists an integer p_6 and a flag simple 3-polytope P^3 with $p_k = p_k(P^3)$ for all $k \geq 4$.

If P has no triangles then the polytope $P_{G(P)}$ is flag.

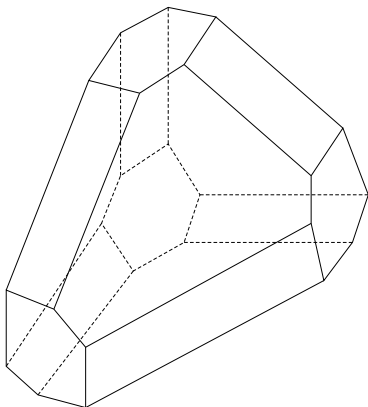
- An Endo-Kroto operation **can not** give an *IPR*-fullerene.
- For a fullerene P the polytope $P_{G(P)}$ is an *IPR*-fullerene with $p_6(P_{G(P)}) = p_6(P) + f_1(P)$.
- For the dodecahedron the corresponding *IPR*-fullerene C_{80} has 80 vertices and is highly symmetric.

The edge $E \in \Gamma$ is a *shout* of the 2-face F for the graph $\Gamma \subset G(P)$, if $E \cap F$ is a 1-valent vertex of Γ .

Теорема

Let P be a simple 3-polytope and $\Gamma \subset P$ be an admissible graph. Then P_Γ is a fullerene if and only if

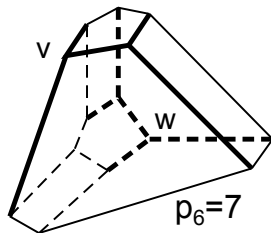
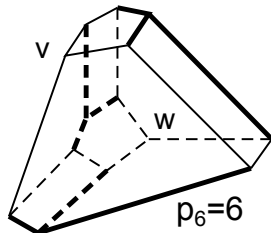
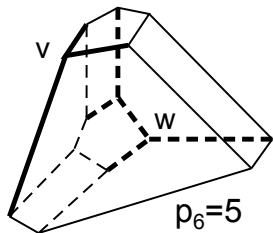
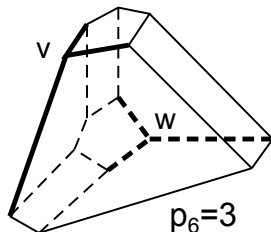
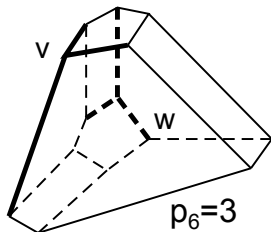
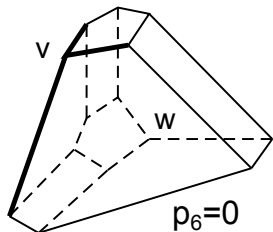
- Γ does not have isolated edges;
- $p_k(P) = 0$ for $k \geq 7$;
- any *triangular* face of P has *two* or *three* shouts;
- any *quadrangular* face of P has *one* or *two* shouts;
- any *pentagonal* face of P has *at most one* shout;
- any *hexagonal* face of P has *no* shouts.



Proposition

We *can not* obtain a fullerene as a graph-truncation of the permutohedron.

All graphs up to the symmetry on the associahedron that give fullerenes



Let P be a fullerene and $\Gamma \subset P$ be an admissible graph.

Следствие

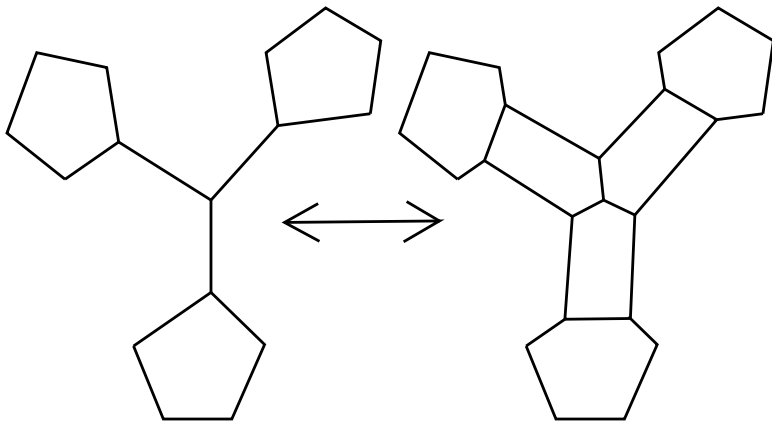
P_Γ is a fullerene if and only if

- Γ does not have isolated edges;
- any hanging edge of Γ is a shout of a pentagon;
- different hanging edges correspond to different pentagons;

If P_Γ is not a fullerene, then we can not obtain a fullerene from it by any sequence of graph-truncations.

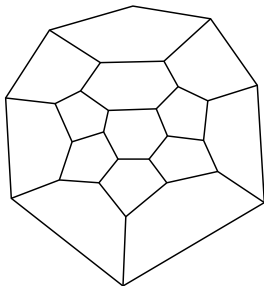
Graph-truncations of fullerenes

The first nontrivial graph-truncation gives the following operation on fullerenes, which is always defined in both directions.



Simple edge cycles on fullerenes

- A simple cycle in $G(P)$ divides a boundary of a fullerene P into two disks W_1 and W_2 with induced simple partitions.
- There is a bijection between the boundary vertices of W_1 and W_2 that maps the vertex of valency i to the vertex of valency $5 - i$.
- For each disk W_1 and W_2 we have $\mu_2 \neq 1$ and $\mu_3 \neq 1$.



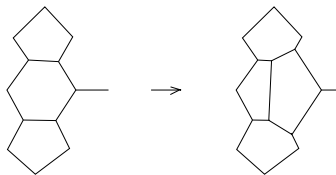
A simple partition of a disk which **can not** appear as W_1 or W_2 .

Surgery of fullerenes

By a *surgery* of a fullerene we mean the operation of replacement of W_1 by a simple partition W'_1 of D^2 into 5- and 6-gons such that there exists a bijection between the boundary vertices v'_1, \dots, v'_p of W'_1 and v_1, \dots, v_p of W_1 ordered cyclically that

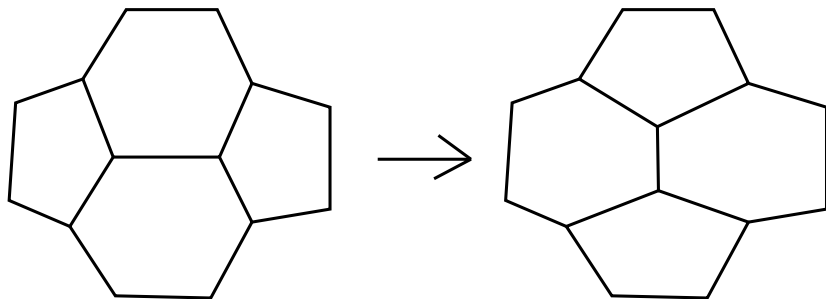
- preserves the valences of vertices;
- has the form $v'_i \rightarrow v_{(s+i) \bmod p}$ or $v'_i \rightarrow v_{(s-i) \bmod p}$ for some s .

The result is again a fullerene.



An Endo-Kroto operation gives a surgery of fullerenes.

Stone-Wales operation



- A Stone-Wales operation can produce an isomer;
- It is a flip;
- It is an example of a surgery.

Пусть $\{F_1, \dots, F_m\}$ — множество гиперграней простого многогранника P . **Кольцо Стэнли-Райснера** над \mathbb{Z} определяется как

$$\mathbb{Z}[P] = \mathbb{Z}[v_1, \dots, v_m] / (v_{i_1} \dots v_{i_k} = 0, \text{ if } F_{i_1} \cap \dots \cap F_{i_k} = \emptyset).$$

- Кольцо Стэнли-Райснера **флагового** многогранника задается **квадратичными соотношениями**:
соотношения имеют вид $v_i v_j = 0$: $F_i \cap F_j = \emptyset$.
- Два многогранника комбинаторно эквивалентны тогда и только тогда, когда их кольца Стэнли-Райснера изоморфны.





Каждый фуллерен является простым флаговым многогранником


Квадратичная алгебра Стенли-Райснера является кошулевой.




Квадратично двойственной алгеброй фуллерена называется квадратично двойственная алгебра его кольца Стенли-Райснера.

Структура квадратично-двойственно алгебры фуллерена полностью описывается матрицей инциденций графа граней фуллерена, которая в физике и химии называется его «топологической матрицей».

На основе этой матрицы в квантовой химии проводится расчёт физико-химических свойств фуллерена.

-  С.Г. Смирнов,
Прогулки по замкнутым поверхностям
(серия «Библиотека “Математическое просвещение”»),
М., МЦНМО, 2003.
-  Н.П. Долбилин,
Жемчужины теории многогранников
(серия «Библиотека “Математическое просвещение”»),
М., МЦНМО, 2000.
-  В.М. Бухштабер, Т.Е. Панов,
Торические действия в топологии и комбинаторике,
М., МЦНМО, 2004.
-  А.К. Звонкин, С.К. Ландо,
Графы на поверхностях и их приложения,
М., МЦНМО, 2010.

-  Э.Э. Лорд, А.Л. Маккей, С. Ранганатан,
Новая геометрия для новых материалов,
М., Физматлит, 2010.
-  М. Endo, H.W. Kroto,
Formation of Carbon Nanofibers,
Journal of Physical Chemistry, Vol. 96, No. 17, 6941–6944
(1992).
-  G. Brinkman, A.W.Dress,
A constructive enumeration of fullerenes,
J.Algorithms, 23:2(1997), 345-358.
-  Victor Buchstaber, Taras Panov,
Toric Topology,
Mathematical Surveys and Monographs, Volume 204, AMS,
Providence, RI, 2015.

-  V.M. Buchstaber, V.D. Volodin,
Combinatorial 2-truncated cubes and applications,
Associahedra, Tamari Lattices, and Related Structures, Tamari
Memorial Festschrift, Progress in Mathematics, 299,
Birkhäuser, Basel, 2012, 161–186.
-  М. Деза, М. Дютур Сикирич, М.И. Штогрин,
Фуллерены и диск-фуллерены,
УМН, 68:4(412) (2013), 69–128.
-  В.М.Бухштабер, Н.Ю.Ероховец,
Усечения простых многогранников и приложения,
Труды МИАН им. В.А.Стеклова, т. 289, 2015, 115–144.